

## ATTORNEY DOCKET NO. Q66482 PATENT APPLICATION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Naoyoshi CHINO, et al.

Appln. No. 09/972,964

Group Art Unit: 2852

Confirmation No.: 6263

Examiner: Not Yet Assigned

Filed: October 10, 2001

For:

TRANSFER APPARATUS

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the documents which are listed on the attached Form PTO-1449 and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date for an application other than a continued prosecution application (CPA) under §1.53(d); (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

INFORMATION DISCLOSURE STATEMENT

ATTORNEY DOCKET NO. 066482

U.S. Appln. No. 09/972,964

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for

foreign language documents, Applicants submit the following explanations:

The submission of the attached English language abstracts along with JPA Nos. 11-

242298, 10-309829 and 4-194832 constitute concise statements of relevance of the respective

references. Also, the reference entitled "Color TFT Liquid Crystal Display" is disclosed on

page 24 in the specification for the above-identified application.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicants do not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

Respectfully submitted,

Registration No. 23,063

Darryl Mexic

SUGHRUE MION, PLLC

2100 Pennsylvania Avenue, N.W. Washington, D.C. 20037-3213

Telephone: (202) 293-7060

Facsimile: (202) 293-7860

Date: January 10, 2002

2



Substitute for form 1449 A & B/PTO

## INFORMATION DISCLOS STATEMENT BY APPLACAN

(use as many sheets as necessary)

Sheet	1	of	1

Complete if Known					
Application Number	09/972,964				
Confirmation Number	6263				
Filing Date	October 10, 2001				
First Named Inventor	Naoyoshi CHINO				
Art Unit	2852				
Examiner Name	Not Yet Assigned Lactin				
Attorney Docket Number	Q66482				

i e			<b>U.S.</b> 1	PATENT DOCUME	ENTS
Examiner Cite Initials* No.1	Document		Publication Date		
	Number	Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	
		US			

FOREIGN PATENT DOCUMENTS							
Examiner Cite	Foreign Patent Document			Publication Date	Name of Patentee or	Translation <sup>6</sup>	
Initials*		Applicant of Cited Document	1 ranslation				
a		JР	11-242298		9/7/1999	Sony Corporation	
E		JP	10-309829		11/24/1998	Eastman Kodak Co.	
2		JР	4-194832		7/14/1992	Fuji Photo Film Co., Ltd.	

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No.1						
a		"Color TFT Liquid Crystal Display" p. 207, published by Kyoritsu Shuppan					

			1 //-			
Examiner Signature	/mil	John	Mm	Date Considered	1/25/03	_

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English